plus sign (+) inside this box  $\rightarrow$  [+] PTO/SB/21 (08-00) Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE valuction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. LIRADE Application Number 08/520,079 **TRANSMITTAL** Filing Date August 28, 1995 **FORM** First Named Inventor (to be used for all correspondence after initial filing) Shunpei YAMAZAKI et al. Group Art Unit 2815 **Examiner Name** P. E. Brock II Total Number of Pages in This Submission Attorney Docket Number 740756-1400 ENCLOSURES (check all that apply) After Allowance Communication to Group Fee Transmittal Form Assignment Papers Other (for an Application) Fee Attached Drawing(s) Amendment / Reply Declaration and Power of Attorney ☐ After Final Licensing-related Papers Petition Affidavits/declaration(s) Petition to Convert to a Provisional Extension of Time Request Application Express Abandonment Request Power of Attorney, Revocation Change of Correspondence Address Information Disclosure Statement ☐ Terminal Disclaimer Certified Copy of Priority Request for Refund Document(s) CD, Number of CD(s) Response to Missing Parts/ Remarks Incomplete Application The Commissioner is hereby authorized to charge any additional fees required or credit any overpayments to Deposit Account No. 19-2380 for the Response to Missing Parts above identified docket number. under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Luan C. Do, Reg. No. 38,434 or Nixon Peabody LLP Individual name 8180 Greensboro Drive Suite 800 McLean, V Signature February 25, 2002 Date CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: Type or printed name

February 25, 2002 Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

Signature

PTO/SB/17 (11-00) Approved for use through 10/31/2002. OMB 0651-0032

## MITTAL FEE **FOR FY 2002**

Patent fees are subject to annual revision.

Complete if Known									
Application Number	08/520,079	E							
Filing Date	August 28, 1995	E	77						
First Named Inventor	Shunpei YAMAZAKI et al.	6							
Examiner Name	P. E. Brock II	0, 7	m,						
Group Art Unit	2815	<u>~</u>	NZ						
Attorney Docket No.	740756-1400	. 6	2 1						

TOTAL AMOUNT OF PAYMENT (\$)688.00	Atto	orney I	Oocket 1	lo.	740756-1400	G 2	而
METHOD OF PAYMENT					FEE CALCULATION (continued)	7 8	
1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:		La En	TIONA rge itity	Small Entity	ı	N2 11 R 2800	
Deposit Account Number  19-2380	Fee Cod	Fe e (\$)		Fee (\$)	Fee Description	Fee Paid	
Deposit Account Name  Nixon Peabody LLP	105 127 139 147	130 50 130 2,520	) 227 ) 139	25 130	Surcharge – late filing fee or oath  Surcharge – late provisional filing fee or cover sheet  Non-English transaction  For filing a request for ex parte reexamination		
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17		920°			Requesting publication of SIR prior to Examiner action Requesting publication of SIR after Examiner action		
Applicant claims small entity status. See 37 CFR 1.27		110			Extension for reply within first month		-
2. 🗵 Payment Enclosed:		400 920			Extension for reply within second month  Extension for reply within third month	400.00	
Check Credit Card Money Other Order	117 118	1,440		720	Extension for reply within fourth month		
FEE CALCULATION		1,960			Extension for reply within fifth month		_
1. BASIC FILING FEE	119 120	320			Notice of Appeal Filing a brief in support of an appeal		
Large Entity Small Entity  Fee Fee Fee Fee Fee Description	121	280			Request for oral hearing		4
Code (\$) Code (\$) Fee Paid	138	1,510			Petition to institute a public use proceeding		4
101 740 201 370 Utility filing fee	140	110		•	Petition to revive – unavoidable		
106 330 206 165 Design filing fee	141	1,280			Petition to revive – unintentional		-
107 510 207 255 Plant filing fee  108 740 208 370 Reissue filing fee	142	1,280		640	Utility issue fee (or reissue)		$\dashv$
108 740 208 370 · Reissue filing fee  114 160 214 80 Provisional filing fee	143	460	243	230	Design issue fee		7
114 100 214 60 1 lovisional limits lee		620	244	310	Plant issue fee	-	
SUBTOTAL (1) (\$)		130	122	130	Petitions to the Commissioner		
2. EXTRA CLAIM FEES		50	123	50	Processing fee under 37 CR 1.17(q)		-
Fee from	126	180	126	180	Submission of Information Disclosure Stmt	180.00	
Total Claims $78$ $-72** = 6$ $X$ $18$ $= $108.00$	581	40	581	40	Recording each patent assignment per property (times number of properties)		
Independent 9 -10** = 0 X 0 = 0 Claims	146 149	740			Filing a submission after final rejection (37 CFR § 1.129(a))		
Multiple Dependent =		740	249	370	For each additional invention to be examined (37 CFR § 1.29(b))		
Large Entity Small Entity Fee Fee Fee Fee Fee Description	179	740	279	370	Request for Continued Examination (RCE)		
Code (\$) Code (\$)	169	900	) 169	900	Request for expedited examination of a design application		
103 18 203 9 Claims in excess of 20 102 84 202 42 Independent claims in excess of 3	Other fee (specify)				<u> </u>		
104 280 204 140 Multiple dependent claim, if not paid	1. 4. 4. 4. 4. 1. 1. 16. 4. 4. 14		on Poid SUPTOTAL (3) (\$)580.00		$\neg$		
109 84 209 42 ** Reissue independent claims over original patent 110 18 210 9 ** Reissue claims in excess of 20 and	* Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)580.00  CERTIFICATE OF MAILING						
over original patent  SUBTOTAL (2) (\$)108.00	I hereby certify that this correspondence is being deposited with the United States Postal Service wi sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Washington, DC 2023 February 25, 2022			stal Service with gton, DC 20231, on			
hugie Adlanilli							
**or number previously paid, if greater; For Reissues, see above		e					
SUBMITTED BY					Complete (if applicable)		

Registration No. (Attorney/Agent) 38,434 703-790-9110 Luan Ç Telephone Name (Print/Type) February 25, 2002 Date Signature

## **AMENDMENT**

Commissioner for Patents Washington, D.C. 20231

Sir:

The Office Action of September 25, 2001 was received and carefully reviewed. Reconsideration and withdrawal of the currently pending rejections are requested for the reasons advanced in detail below.

## IN THE CLAIMS:

Please cancel claims 117, 118, 120, 121 and 122.

Please amend claims 73, 80, 87, 93, 99, 105, 111, 123 and 129 to read as follows:

73. (Thrice Amended) A thin film transistor comprising:

a crystalline semiconductor island over a substrate having an insulating

surface;

source and drain regions in said semiconductor island;

a channel forming region between said source and drain regions;

a gate insulating film adjacent to at least said channel forming region;

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